

Transfer form



This form lets you transfer an existing Limited Company Dealing account into a Limited Company Dealing account with AJ Bell.

If you don't already have an AJ Bell Dealing account for your Company, you'll need to apply for one by completing the Limited Company Dealing account application form which can be found in the 'useful forms' section of the website. Both this form and the Limited Company Dealing account application form can then be sent in together.

Please use BLOCK CAPITALS only and blue or black ink, ticking boxes where appropriate.

If you would like a copy of this or any other item of our literature in large print, Braille or in audio format, please contact us on 0345 54 32 600 or by email at help@ajbell.co.uk.

When your transfer form is signed and completed, please scan and email it to transfersin@ajbell.co.uk.

Or if you prefer, you can post the completed form to us at:

AJ Bell
4 Exchange Quay
Salford Quays
Manchester
M5 3EE

If you email us your transfer form, we may later need you to post us a paper copy with a wet signature. If this is the case – and it'll depend on the provider you're transferring from – we'll be in touch to let you know.

Company details

Company name

Company address

Postcode

Country

AJ Bell Dealing account number

Please ensure that your details held with the provider you're transferring from match the above, differing details may delay your transfer.

Personal details for nominated contact

Title

Dr / Mr / Mrs / Miss / Ms / Other

Surname

Forename(s)

Date of birth

National Insurance number

Permanent residential address

Postcode

Country

Daytime telephone number

Email address

Please ensure that your personal details held with the provider you're transferring from match the above, differing details may delay your transfer.

Transferring from another provider

Dealing account number with provider

Approximate transfer value

£

☐

This is a full transfer

☐

This is a partial transfer

Provider's name

Please transfer the holdings, including any cash held on the account to AJ Bell.

I/we authorise the above provider to give AJ Bell or AJ Bell Securities Limited any information they request about the Dealing account. I/we agree that a copy of this authority should have the same validity as the original.

I/we confirm that I have not received any advice or recommendation in relation to the transfer from a representative of AJ Bell or AJ Bell Securities.

The form should be signed by a two directors, or one director and the company secretary, unless it's signed in accordance with the company's signing resolution. If there isn't a company secretary, the sole director must sign in the presence of a witness who needs to provide their name, occupation and address. The nominated contact must also sign if they haven't already done so.

Name

Date

Signature

Position

Name

Date

Signature

Position

Nominated contact – (if the nominated contact isn't signing as a director or company secretary)

Name

Date

Signature

IMPORTANT NOTE

The Dealing account may hold funds with your current provider in a unit class not available with AJ Bell. If so, when you transfer these funds to us, you authorise us to convert them into a mutually held unit class – which could be more expensive. After the transfer is complete, you also give us the authority to convert any of your funds to a cheaper unit class, where one is available.

Please note that any rebates payable to you in respect of investments being transferred will be paid in the form of units following the transfer to AJ Bell.

Assets to be transferred

If you're transferring US or Canadian stocks to your Dealing account, you'll need to complete:

- A W-8BEN-E form for US stocks. Please contact our Dealing services team for more information on 0345 54 32 600 or dealingservices@ajbell.co.uk.
- A NR301 form for Canadian stocks. You can download it on our 'Useful forms' page.

Making a partial transfer?

You need to complete this section if you want to partially transfer a Limited company Dealing account to your AJ Bell Dealing account.

Please enter the amount of cash you want to transfer below. And, if you intend to transfer assets, in the table beneath it, please enter the investments you want to transfer, along with their approximate cash value. If you don't give us this information, your transfer will be delayed.

You don't need to complete this section if you're transferring a Dealing account in full.

Cash to be transferred

£

Name of Investment	SEDOL/ISIN	Units

Please continue on a separate sheet if necessary.

What happens next?

Once we receive the completed transfer form, we'll contact your existing provider to start the transfer. The length of time the transfer will take can vary, depending on the following factors:

- The type of investments you're transferring. As a guide, cash only should take 2-4 weeks, shares 4-6 weeks, funds 6-8 weeks and foreign holdings 10-12 weeks. If you're transferring cash and investments, your cash will be transferred to us after your investments
- How long it takes your current provider to respond to our requests
- Whether the documentation required by us or your current provider is completed correctly
- Whether you still have to pay fees to your current provider, including closure and administration fees

If you're transferring investments, you can track the progress of your transfer, just log in and click on 'Transfers'.