

Joint Dealing account transfer form

This form lets you transfer an existing Joint Dealing account into a Joint Dealing account with AJ Bell.

If you don't already have an AJ Bell Joint Dealing account you'll need to apply for one by completing the Joint Dealing account application form which can be found in the 'useful forms' section of our website. Both this form and the Joint Dealing account application form can then be sent in together.

Please use BLOCK CAPITALS only and blue or black ink, ticking boxes where appropriate.

If you would like a copy of this or any other item of our literature in large print, Braille or in audio format, please contact us on 0345 54 32 600 or by email at help@ajbell.co.uk.

Please sign and date this application form and return it to

AJ Bell
4 Exchange Quay
Salford Quays
Manchester
M5 3EE

First account holder personal details

Title

Dr / Mr / Mrs / Miss / Ms / Other

Surname

Forename(s)

Date of birth

National Insurance number

Permanent residential address

Postcode

Country

Daytime telephone number

Email address

Please ensure that your personal details held with the provider you're transferring from match the above as differing details may delay your transfer.

Second account holder personal details

Title

Dr / Mr / Mrs / Miss / Ms / Other

Surname

Forename(s)

Date of birth

National Insurance number

Permanent residential address

Postcode

Country

Daytime telephone number

Email address

Please ensure that your personal details held with the provider you're transferring from match the above as differing details may delay your transfer.

AJ Bell Joint Dealing account number

AJ Bell Joint Dealing account number (if known)

Transferring from another provider

Joint Dealing account number with provider

Approximate transfer value

£

This is a full transfer

This is a partial transfer

Provider's name

Please transfer our holdings, including any cash held on our account to AJ Bell.

We authorise our above provider to give AJ Bell or AJ Bell Securities Limited any information they request about our Joint Dealing account. We agree that a copy of this authority should have the same validity as the original.

We confirm that we have not received any advice or recommendation in relation to the transfer from a representative of AJ Bell or AJ Bell Securities.

Name

Date

Signature

Name

Date

Signature

IMPORTANT NOTE

You may hold funds with your current provider in a unit class not available with AJ Bell. If so, when you transfer these funds to us, you authorise us to convert them into a mutually held unit class – which could be more expensive. After the transfer is complete, you also give us the authority to convert any of your funds to a cheaper unit class, where one is available.

Please note that any rebates payable to you in respect of investments being transferred will be paid in the form of units following the transfer to AJ Bell.

Assets to be transferred

If you're transferring US or Canadian stocks to your Joint Dealing account, you'll need to complete:

- A W-8BEN form for US stocks. You can complete it online by logging in and selecting 'Update my details', then 'Complete W-8BEN'
- A NR301 form for Canadian stocks. You can download it on our 'Useful forms' page.

Making a partial transfer?

You need to complete this section if you want to partially transfer a Joint Dealing account to your AJ Bell Joint Dealing account.

Please enter the amount of cash you want to transfer below. And, if you intend to transfer assets, in the table beneath it, please enter the investments you want to transfer, along with their approximate cash value. If you don't give us this information, your transfer will be delayed.

You don't need to complete this section if you're transferring a Joint Dealing account in full.

Cash to be transferred

£

Please continue on a separate sheet if necessary.

What happens next?

Once we receive your completed transfer form, we'll contact your existing provider to start the transfer. The length of time the transfer will take can vary, depending on the following factors:

- The type of investments you're transferring. As a guide, cash only should take 2-4 weeks, shares 4-6 weeks, funds 6-8 weeks and foreign holdings 10-12 weeks. If you're transferring cash and investments, your cash will be transferred to us after your investments
- How long it takes your current provider to respond to our requests
- Whether the documentation required by us or your current provider is completed correctly
- Whether you still have to pay fees to your current provider, including closure and administration fees

If you're transferring investments, you can track the progress of your transfer, just log in and click on 'Transfers'.