

Legal representative form



Please complete this form to notify us that you have lawful authority to act on behalf of an existing AJ Bell customer. This authority can be in the form of a Power of Attorney granted by the customer, or a Deputy Order granted by the Court of Protection. Please note that an attorney or deputy can't open an AJ Bell account on behalf of a customer – the customer must already have an existing AJ Bell account or open one themselves.

If there's more than one attorney or deputy, we can only appoint one to act for the customer's AJ Bell account(s). If an alternative attorney or deputy wishes to act on behalf of the customer in the future, we can update the customer's records on receiving a new Legal representative form. Unfortunately, an attorney can't open a joint Dealing account with a customer unless the attorney and customer are spouses or civil partners.

Before you can act on behalf of a customer, as deputy or attorney you will need to have an account with AJ Bell. This is to ensure you've completed all the required identity and verification checks. If you don't have an account with AJ Bell, you'll need to apply for one at ajbell.co.uk. Once you've received your new account number, you can go ahead and send us this form.

Please use BLOCK CAPITALS only and blue or black ink, ticking boxes where appropriate.

If you would like a copy of this or any other item of our literature in large print, Braille or in audio format, please contact us on 0345 54 32 600 or by email at help@ajbell.co.uk.

Once completed and signed, please return the form to us at the address below.

AJ Bell
4 Exchange Quay
Salford Quays
Manchester
M5 3EE

Completion notes

For both a Power of Attorney and a Deputy Order, we need to see the original document or a copy.

A copy of a Power of Attorney must be certified by a solicitor, a notary public or the customer themselves. The person certifying the Power of Attorney **must write on every page** of the document their full name, their signature, the date, and wording confirming that each page of the document is a 'true and complete copy of the corresponding page of the original'. We also require a **wet signature** on the certification.

If you've registered via the 'Use an LPA' online service we do not need a copy of the document, we'll just need the access code. The online service is only available for Lasting Powers of Attorney registered after 16 July 2020. Attorneys and donors are sent an activation key they can use to create an account online. Once they've done this, they're given an access code that they can give to organisations and account providers.

We'll return any original documents to you by recorded post.

Unless you notify us otherwise, we'll apply the Power of Attorney or Deputy Order to all accounts the customer holds with us.

If you hold an Ordinary Power of Attorney or you hold an Enduring Power of Attorney that hasn't been registered with the Office of the Public Guardian, please contact us if the customer later becomes mentally or physically incapable as the Power or Attorney will no longer be valid.

Customer information

Please enter details of the customer the Power of Attorney or Deputy Order applies to.

Title

Dr / Mr / Mrs / Miss / Ms / Other

Surname

Forename(s)

Account number(s) (if known)

Date of Birth

Permanent residential address

Postcode

Please tick one of the boxes below to indicate the customer's level of mental or physical capacity.

☐

Mentally incapable

☐

Physically incapable

☐

Both

☐

Neither

Information about the deputy or attorney

Title

Dr / Mr / Mrs / Miss / Ms / Other

Surname

Forename(s)

National Insurance number

Date of Birth

Permanent residential address

Postcode

Country

Telephone

Email

Existing AJ Bell account number

If you have registered for the 'Use an LPA' online verification service, please enter the access code here

Investment decision-maker

Who will make the investment decisions for the account(s)? Please tick one box only

☐

The customer

☐

The deputy/attorney

Correspondence

Who will receive all the communications for the account(s)? Please tick one box only

☐

The customer

☐

The deputy/attorney

Declarations

I declare that:

- The information in this form is true and correct to the best of my knowledge
- I am lawfully entitled to act on behalf of the customer
- Where the power of attorney document says attorneys must act on a joint basis only, I will only provide instructions that have been agreed with the other joint attorney .
- Where relevant, I will notify AJ Bell of any material changes in the customer's physical or mental capacity if it affects the validity of the Power of Attorney or Deputy Order
- I agree to act in accordance with the relevant account terms and conditions

Signed - Deputy/attorney

Date

For attorneys who must act on a joint basis only, please complete the declaration below.

Declaration

I, the joint attorney, agree for the above-named attorney to be appointed legal representative of the above-named account. All instructions provided by the legal representative are to be taken as having been provided by both of us jointly.

Name

Date

Signature