

Financial advice declaration form



This form lets you confirm that a suitably qualified financial adviser has recommended that you transfer a defined benefit/final salary pension scheme or a scheme that includes safeguarded benefits worth £30,000 or more. Please complete the first part of the form, before asking your adviser to complete the last part.

Please use BLOCK CAPITALS only and blue or black ink. Once completed please return the form to us at the address below.

AJ Bell
4 Exchange Quay
Salford Quays
Manchester
M5 3EE

If you would like a copy of this or any other item of our literature in large print, Braille or audio format, please contact us on 0345 54 32 600 or by email at help@ajbell.co.uk.

Account holder details

Title

Dr / Mr / Mrs / Miss / Ms / Other

First names

Surname

AJ Bell account number

Transferring pension scheme details

Transferring pension scheme name

Transferring pension scheme account number

Receiving pension scheme

AJ Bell

Please print your name, sign and date below to confirm that you've received a recommendation to transfer the defined benefit/final salary pension scheme or scheme that includes safeguarded benefits detailed above.

Customer name

Customer signature

Date

Financial adviser details

Name of company

FCA Reference number

Adviser name

Adviser FCA Reference number

Declaration

I confirm that I have provided the above-named customer with financial advice in agreement with the Pensions Act 2015 (Transitional Provisionals and Appropriate Independent Advice Regulations 2015).

I confirm that I have recommended this pension transfer and believe it to be suitable for the customer.

I confirm that I have the necessary permission under part 4a of the Financial services and Markets Act 2000, or resulting from any other provision of the act, to carry on the regulated activity under article 53e of the regulated activity order.

Please print your name, sign and date below to confirm you have read and understood the declarations.

Adviser name

Adviser signature

Date